Computer-Assisted Delivery of Cognitive-Behavioral Therapy for Addiction

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Objectives: This study evaluated the efficacy of a computer-based version of cognitive-behavioral therapy (CBT) for substance dependence.

Method: This was a randomized clinical trial in which 77 individuals seeking treatment for substance dependence at an outpatient community setting were randomly assigned to standard treatment or standard treatment with biweekly access to computer-based training in CBT (CBT4CBT) skills.

Results: Treatment retention and data availability were comparable across the treatment conditions. Participants assigned to the CBT4CBT condition submitted significantly more urine specimens that were negative for any type of drugs and tended to have longer continuous periods of abstinence during treatment. The CBT4CBT program was positively evaluated by participants. In the CBT4CBT condition, outcome was more strongly associated with treatment engagement than in treatment as usual; furthermore, completion of homework assignments in CBT4CBT was significantly correlated with outcome and a significant predictor of treatment involvement.

Conclusions: These data suggest that CBT4CBT is an effective adjunct to standard outpatient treatment for substance dependence and may provide an important means of making CBT, an empirically validated treatment, more broadly available.

Cognitive-behavioral therapy (CBT) has a comparatively strong level of empirical support across a range of psychiatric disorders (1–3), including substance use disorders (1, 4, 5). Despite evidence of positive and durable outcome (6, 7), CBT remains rarely implemented in the range of settings where individuals with substance use disorders are treated (8). There are a number of obstacles to delivering CBT and other empirically validated therapies in clinical practice, including the limited availability of professional and specialty training programs that provide high quality training, supervision, and certification in CBT (9); high rates of clinician turnover and lack of a CBT-trained workforce in many treatment settings (10–12); the relative complexity and cost of training clinicians in CBT (13, 14); and high caseloads and limited resources. Available evidence suggests that although many clinicians report using CBT, they tend to overestimate their use of this and other empirically supported therapies (15).

Hence, computer-assisted delivery of CBT, if demonstrated to be feasible and effective, could play an important role in broadening its availability and improving the quality of addiction.
treatment. Computer-assisted therapy could provide a comparatively low-cost means of teaching CBT skills to more substance users and allow clinicians to focus on acute concerns and problems of the individuals with whom they work. Moreover, by standardizing treatment delivery, computer-assisted training could also provide more consistent, and perhaps more effective, teaching and demonstration of CBT than is available in some settings through its capacity to convey information by means of a range of media (text, video, audio instruction, and interactive exercises). The ability to select or tailor content to address the needs of particular individuals allows for a choice of different topics, control over the speed of presentation of information, and repetition of modules when necessary. These flexible parameters may be especially important for substance abusers, many of whom experience significant cognitive impairment, including memory and concentration problems, particularly in the early stages of treatment (16, 17).

In the treatment of depression and anxiety disorders, computer-assisted delivery of CBT has been demonstrated in randomized trials to be both effective (18–25) and cost-effective (26). However, there have been few evaluations of computer-assisted treatment of any type of addiction. Much of this work has been done in the area of smoking, in which several randomized trials of predominantly cognitive-behavioral interventions or self-help guidelines delivered by means of computers/the Internet have indicated positive effects on quit rates or attempts (27–29). Computer-assisted brief motivational approaches and self-control training programs have shown promise in randomized, controlled trials with problem drinkers (30, 31) and college students (32). A computer-based HIV/AIDS education program was more effective than counselor-provided education in helping injection drug users learn and retain information about HIV, although both approaches were comparable in reducing HIV risk behaviors (33).

In this report, we describe main outcome findings from a randomized clinical trial of a six-module computer based training in CBT (CBT4CBT) skills, in which individuals entering a community-based clinic were randomly assigned to standard treatment (treatment as usual) or treatment as usual plus CBT4CBT over a period of 8 weeks. Given the established efficacy of CBT across a range of addictions and the unavailability of empirically validated therapies in many community based settings, CBT4CBT was evaluated in terms of one model of how it might be used in clinical practice, that is, as a stand-alone addition to regular clinical practice delivered to a heterogeneous group of individuals seeking treatment for addiction. The primary hypothesis was that individuals assigned to CBT4CBT would reduce their frequency of substance use and submit fewer positive urine toxicology screens than those randomly assigned to treatment as usual. Given data from previous CBT trials linking compliance, homework completion, and outcome(34–36), a secondary hypothesis was that treatment engagement, including homework completion, in the CBT4CBT program would be more strongly linked to positive substance use outcomes than treatment as usual.

Method

Participants

The participants were recruited from individuals seeking treatment at Liberation Program’s Mill Hill Clinic, a community-based outpatient substance abuse treatment provider in Bridgeport, Conn. The participants were English-speaking adults who met DSM-IV criteria for any current substance dependence disorder, including alcohol, cocaine, opioids, or marijuana. Exclusion criteria were minimal to facilitate recruitment of a clinically representative group of individuals
seeking treatment in a community setting. Thus, individuals were excluded only if they 1) had not
used alcohol or illegal drugs within the past 28 days or failed to meet DSM-IV criteria for a current
substance dependence disorder, 2) had an untreated psychotic disorder that precluded outpatient
treatment, or 3) were unlikely to be able to complete 8 weeks of outpatient treatment due to a
planned move or pending court case from which incarceration was likely to be imminent.

As shown in the participant flow diagram (Figure 1), 77 of the 155 individuals screened were
determined to be eligible for the study, provided written informed consent, and were randomly
assigned to groups. Following a complete description of the study and provision of written
informed consent approved by the Yale University School of Medicine Human Investigations
Committee, the participants were randomly assigned to either treatment as usual or CBT4CBT
with a computerized urn randomization program (37) to balance treatment groups with respect to
primary substance used, gender, and ethnicity.

Treatments

All participants were offered standard treatment at the clinic, which consisted of weekly individual
and group sessions of general drug counseling. All participants also met twice weekly with an
independent clinical evaluator who collected urine and breath specimens, assessed recent
substance use, and monitored other clinical symptoms. Those randomly assigned to the
CBT4CBT condition were provided access to the computer program in a small private room within
the clinic. A research associate guided participants through their initial use of the CBT4CBT
program and was available to answer questions and assist participants each time they used the
program. The participants accessed the program through an identification/password system to
protect confidentiality.

The CBT4CBT program was intended to be user-friendly, requiring no previous experience with
computers and minimal use of text-based material. The multimedia style of the program was
based on elementary-level computer learning games, and the presentation of material was done
in a range of formats, including graphic illustrations, videotaped examples, verbal instructions,
audio voiceovers, interactive assessments, and practice exercises.

The program consisted of six lessons, or modules, the content of which was based closely on a
CBT manual published by the National Institute on Drug Abuse (38) used in several previous
randomized, controlled trials in a range of substance-using populations (6, 39, 40). The modules
covered the following core concepts: 1) understanding and changing patterns of substance use,
2) coping with craving, 3) refusing offers of drugs and alcohol, 4) problem-solving skills, 5)
identifying and changing thoughts about drugs and alcohol, and 6) improving decision making
skills. The first module provided a brief explanation of how to use and navigate the program;
following completion of the first module, the participants could choose to access the modules in
any order they preferred and repeat any section or module as many times as they wished.

Each module in the CBT4CBT program was structured as follows. First, the key concept for each
module was introduced through a brief “movie” using actors and realistic settings depicting
situations in which an individual was offered drugs or had to cope with a challenging situation in
which substance use was likely. Next, after the narrator explained the key skill covered in that
module with graphics and voiceovers, the “movie” was repeated, this time with a different ending,
as the same characters applied the skills to change the outcome of the situation so as to avoid
substance use (e.g., emphasis was on how individuals could use the CBT skills to “change their
story”). Additional videotaped vignettes were used to reinforce the skills taught (e.g., in the “refusal skills” module, the user could click buttons to see additional examples of the characters demonstrating assertive versus aggressive versus passive responding). Next, each module included an interactive assessment followed by a short vignette with an actor explaining how use of each skill had helped him/her avoid substance use and how each CBT principle could be applied to other problems; the intention of this section was to address common areas of resistance in CBT (“Why should I do homework?”) and to emphasize how CBT skills could be generalized beyond substance use issues. Finally, each module concluded with the narrator providing a review of the key points covered, followed by the characters demonstrating how they would complete the “homework” or practice assignment for that module based on the situation depicted in the movie. The participants were then given an identical practice assignment and a reminder sheet to take with them.

This general sequence of activities was intended to be similar to the CBT manual’s (38) therapist guidelines for structuring sessions (introduction of the skill topic, didactic instruction, practice through modeling and role playing, assessment of the patient’s understanding of the material, and assignment of homework). However, this sequence also capitalized on the unique advantages of multimedia computer-assisted instruction, including presentation of information in a range of media formats. Each module was intended to require about 45 minutes to complete, depending on the speed with which the user navigated the program and the amount of material he or she selected to access or repeat.

Assessments

The participants were assessed before treatment, twice weekly during treatment, and at the 8-week treatment termination point by an independent clinical evaluator. The participants were administered the Structured Clinical Interview for DSM-IV (SCID) (41) before random assignment to establish substance use and psychiatric diagnoses. The Substance Abuse Calendar, similar to the Timeline Follow Back (42, 43), was administered weekly during treatment to collect detailed day-by-day self-reports of drug and alcohol use throughout the 56-day treatment period as well as for the 28-day period before random assignment.

Participant self-reports of illegal drug use were verified through urine toxicology screens that were obtained at every assessment visit. Of 578 urine specimens collected during the treatment phase of the study (between days 4 and 56), the majority were consistent with participant self-report in that only 58 (10%) were positive for drugs in cases in which the participant had denied recent use during the period the drug’s metabolites are typically detectable in urine (3 days for cocaine and opioids, seven for marijuana). Using these cutoffs, 23 urine samples were submitted that were positive for cocaine when the participant had reported no cocaine use in the past 3 days, 31 were positive for marijuana when the participant had reported no marijuana use in the past 7 days, and nine were positive for opioids when the participant had reported no opioid use in the past 3 days. This rate is consistent with previous studies of substance-dependent samples (43–45).

Breathalyzer samples were also collected at each assessment visit; none indicated recent alcohol use.

Data Analyses

The a priori primary outcome measures were the results of urine toxicology screens (operationalized as the number of drug-positive urine samples collected during treatment) and the frequency of substance use (operationalized as the percentage of days in the 56-day treatment
period the participant reported using alcohol or any illegal drug). Secondary outcomes included
the longest period of abstinence attained during treatment and retention in treatment. The
principal data analytic strategy was analysis of variance for the two primary outcome variables for
the 77 participants who were randomly assigned to treatment (intention to treat) and the 73
participants who initiated treatment (treatment-exposed). Four participants who were randomly
assigned
but did not initiate treatment had been assigned to CBT4CBT; of these, three were arrested after
random assignment but before the onset of treatment. Results were highly consistent across
analysis samples, and therefore, results from the treatment-exposed group are presented below
(the four individuals who dropped out immediately after random assignment were not exposed to
any protocol treatment, could not be located for any further assessments, and therefore
contributed minimally to the data set since no urine or self-report data were available).

Results

Group Description

Table 1 presents baseline demographic characteristics and substance use and psychiatric
diagnoses of the 73 participants who initiated treatment. Of these, 43% were women, and 46%
identified themselves as African American, 34% as European American, 12% as Hispanic, and
6% as Native American. Most were single or divorced, 77% were unemployed, and 75% had
completed high school.
Over one-third (37%) of the group reported that they were on probation or parole, and 27% indicated that their application for treatment had been prompted by the criminal justice system.

Most participants (59%) reported cocaine use as their primary substance use problem, followed by alcohol (18%), opioids (16%), and marijuana (7%), with multiple types of concurrent substance use common (79.5% were users of more than one type of drug or users of both alcohol and drugs). The participants reported that they had been using their primary substance for a mean of 17 years and reported using their primary substance for an average of 10 of the past 28 days. Analysis of variance and chi-square analyses indicated no significant differences by treatment condition on any of the variables presented in Table 1.

Treatment Implementation, Retention, and Data Availability by Condition

Of the 73 individuals who initiated treatment, 48 (66%) completed the study (22 in CBT4CBT, 26 in treatment as usual: n.s.). As shown in Table 2, levels of exposure to the standard counseling services offered in the program were also comparable in both groups, with those assigned to CBT4CBT completing a mean of 39 days and those assigned to treatment as usual completing 41 days of the 56-day protocol. Hence, analyses of the primary substance use outcomes were not constrained by differential rates of attrition nor by data availability.
Of those who initiated the CBT4CBT program, the mean number of computer sessions completed was 4.3 (SD=2.4) of the six modules offered. The participants spent an average of 38.3 (SD=8.2) minutes per session working with each module and tended to complete the modules in the order presented (e.g., 34 of 35 participants completed module 1: patterns of use and functional analysis; 25 completed module 2: coping with craving; 20 completed module 3: refusing offers; 19 completed module 4: problem solving; 18 completed module 5: addressing cognitions; and 15 completed module 6: decision making). As noted earlier, homework was assigned at the end of each module; the participants completed an average of 2.9 homework assignments (SD=2.0).

Twenty-six participants completed evaluation of the program, in which they were asked to rate their level of agreement with a range of statements about the CBT4CBT program using a Likert-type scale with ratings of 1 indicating low satisfaction and 5 indicating high satisfaction. For the 17 items evaluating various aspects of the program (e.g., “The directions were easy to understand”; “The program helped me think about my problems in a new way”), the mean satisfaction rating was 4.3 (SD=0.6)

Effects of Treatment on Primary Substance Use Outcomes

The participants assigned to CBT4CBT submitted significantly fewer urine specimens that were positive for any type of drug use (2.2 versus 4.3; F=6.18, df=71, p=0.02), with a moderate effect size (d=0.59) and a lower proportion of urines that were positive for any drug (34% versus 53%; F=3.9, df=71, p=0.05; d=0.46). This was most marked for cocaine (28% versus 44% positive
Duration of longest continuous (urine-confirmed) period of abstinence during treatment was longer for those assigned to CBT4CBT than treatment as usual (22 versus 15 days). Although this fell just short of statistical significance, the effect size was moderate ($d=0.45$). The difference between groups for self-reported percent days of abstinence for all illicit drugs and alcohol was not statistically significant.

### CBT4CBT Program Use and Outcome

Consistent with previous support for links between pretreatment substance use severity (46), treatment retention (47), and outcome, we expected strong relationships between these variables and outcome among participants in this group, but with treatment involvement more closely tied to outcome in the CBT4CBT condition than in treatment as usual. Table 3 presents simple correlations between baseline severity of substance use (frequency of substance use at baseline, years of substance use), treatment involvement (indicated by the number of days retained in the treatment program, the number of individual and group sessions attended, and homework completion), and treatment outcome (percent of drug-negative urine specimens, self-reported days abstinent, and duration of longest consecutive period of abstinence during treatment). In treatment as usual, the indicators of baseline substance use severity tended to have higher correlations with outcome than the treatment-retention indicators, whereas the reverse held for the CBT4CBT condition, in which treatment involvement and completion of homework assignments had higher correlations. The results of structural equation modeling (48) were consistent with this interpretation, with a good-fitting model indicating that treatment involvement ($r=0.77$, critical ratio=3.5, $p<0.001$) and completion of homework ($r=0.85$, critical ratio=4.2, $p<0.001$) were strongly related to outcome in CBT4CBT.

### Discussion

In this randomized clinical trial of the efficacy of a computer-assisted CBT program for reducing drug use, participants using the CBT4CBT program submitted significantly fewer drug-positive urines specimens and tended to have longer periods of abstinence during treatment compared with standard treatment as usual at a community-based clinic. This is, to our knowledge, the first randomized clinical trial evaluating computer-assisted treatment for substance use disorders that reports on biologically verified drug use outcomes. Thus, the study both adds to the existing positive literature on computer-assisted delivery of CBT for depression and anxiety disorders by extending it to a new and highly challenging population and also provides strong evidence for the efficacy of computer-assisted training through use of data from urine toxicology screens rather
than self- or therapist reports, both of which may be subject to bias. The CBT4CBT program was viewed by participants as highly engaging, was associated with promising outcomes, and potentially addresses a critical issue related to the availability of CBT in clinical practice.

Similarly, this trial is consistent with multiple trials suggesting that the practice of CBT skills outside of sessions through completion of homework assignments may be an important active ingredient of outcome CBT (34, 36) in that there was a significant positive relationship between the number of CBT4CBT homework assignments completed and substance use outcomes. That is, indicators of treatment involvement were strongly related to outcome in the CBT4CBT condition, whereas in the treatment as usual condition, outcome was more strongly related to baseline severity of substance use than level of treatment engagement. Given the link between use of the program and outcome, more frequent access to and use of the CBT4CBT program (e.g., through the Internet) should be evaluated as a strategy to enhance the potential benefits of this approach.

Strengths of this trial included random assignment of a comparatively large and diverse group of participants in a community clinic, comparable levels of retention and data availability in both conditions, and reliance on independent, biological indicators of outcome. While not addressed in this study, the high level of control over the delivery of specific modules or treatment components (such as homework) in computer-assisted training may permit more refined study of the specific mediators of CBT through future clinical trials in which these elements are systematically manipulated with greater precision than is typically possible in clinician-delivered therapies.

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<th>TABLE 3. Simple Correlations of Indicators of Pretreatment Substance Abuse Severity, Treatment Involvement, and Substance Use Outcome by Treatment Condition</th>
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<tr>
<td>Treatment Condition and Indicator</td>
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<tr>
<td>Cognitive-behavioral therapy (N=34)</td>
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<td>Baseline drug use severity scores</td>
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<td>1. Years primary substance used</td>
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<td>2. Percent days abstinent 26 days before screening</td>
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<td>Treatment outcome indicators</td>
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<td>3. Most consecutive days of abstinence</td>
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<td>4. Percent days abstinent during treatment</td>
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<td>5. Percent of urine specimens negative for all drugs</td>
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<td>Treatment engagement scores</td>
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<td>6. Number of homework assignments completed</td>
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<td>7. Total number of sessions attended</td>
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<td>8. Number of days retained in treatment program</td>
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<td>Treatment as usual (N=38)</td>
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*p<0.05.  **p<0.01.

This study had several limitations as well. First, it should be noted that the study did not address whether computer-assisted delivery of training was comparable or superior to clinician-delivered CBT, nor did it control for the additional time the participants spent working with the computer program in addition to the treatment as usual received. Moreover, use of a treatment-as-usual comparison condition that was not constrained or controlled had the typical disadvantages of this type of comparison (49), including variability in content and duration. On the other hand, it did constitute an active treatment comparison (50, 51) and therefore provided a rigorous control for
evaluating any added benefit conferred by CBT4CBT. Second, in common with most trials involving substance users, attrition was an issue, as approximately 65% of those who initiated their protocol treatment completed it. On the other hand, data availability was comparable for both conditions, and the retention rates are comparatively high given the unselected nature of the study population. Moreover, our extensive efforts to reach and collect data from those who dropped out of treatment (52) provided complete data for over 80% of the treatment-exposed group for the full 56-day study period. Third, it should be noted that the analyses evaluating the relationships between baseline substance use severity, treatment involvement, and outcome are correlational, and causality cannot be inferred.

Finally, because CBT has been demonstrated to be effective across several substance use disorders, we decided to evaluate CBT4CBT with a heterogeneous group of outpatients who used multiple substances concurrently. Although this provided stronger evidence for the generalizability of the CBT4CBT program, it produced insufficient group sizes for analyzing different subgroups of patients. In this regard, it should also be noted that our significant urine outcomes are complicated by the fact that some substances are detectable in urine for longer periods (marijuana) than others (cocaine). On the other hand, detecting a significant difference under these circumstances and in a heterogeneous community-based group provided comparatively strong support for this promising new model. These results should be replicated before CBT4CBT is advocated for broader use in the substance abuse treatment system, and we are currently conducting a larger trial with a more homogeneous cocaine-dependent methadone-maintained group.

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